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Welding, longitudinal lung function decline and chronic respiratory symptoms: a

systematic review of cohort studies

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## **Abstract**

While the acute respiratory risks of welding are well characterised, more chronic effects – including those on lung function – are less clear. We carried out a systematic review of published longitudinal studies of lung function decline in welders.

Original cohort studies documenting two or more sequential measurements of lung function were reviewed. Meta-analysis was carried out on studies with suitable data on forced expiratory volume in 1 second (FEV<sub>1</sub>).

Seven studies were included; their quality (measured on the Newcastle-Ottawa scale) was good, although exposure assessment was limited and the studies showed significant heterogeneity. Five had data suitable for meta-analysis; the pooled estimate of the difference in FEV<sub>1</sub> decline between welders and non-welders was -9.0 ml/yr (95% CI -22.5, 4.5, p=0.193). The pooled estimates of difference in annual FEV<sub>1</sub> decline between welders and referents who smoked was -13.7ml/yr (95% CI -33.6, 6.3, p=0.179). For welders and referents who did not smoke the estimated difference was -3.8ml/yr (95% CI -20.2, 12.6, p=0.650). Symptom prevalence data were mainly narrative; smoking appeared to have the greatest effect on symptom evolution.

Collectively, available longitudinal data on decline of lung function in welders and respiratory symptoms suggest a greater effect in those who smoke, supporting a focus on smoking cessation as well as control of fume exposure in this trade. Further prospective studies are required to confirm these findings.

## **Abbreviations**

CI confidence interval

COPD chronic obstructive pulmonary disease

FEF forced expiratory flow

FEV<sub>1</sub> forced expiratory volume in 1 second

FVC forced vital capacity

MEF mid-expiratory flow

OR odds ratio

SD standard deviation

WMD weighted mean difference

## Introduction

While there are no validated data, extrapolation from US estimates (1) suggests that worldwide there are several million full-time, occupational welders. Their numbers are likely to rise and increasingly they will be working in economies with uncertain workplace safety standards. While the acute health risks of welding are well characterised, more chronic adverse effects – including those on lung function – are less clear.

Reported adverse respiratory outcomes include metal fume fever (2), siderosis (3), lobar pneumonia (4) and less definitively, lung cancer (5;6) and asthma (7-12). Although some studies have shown an increased prevalence of chronic bronchitis in welders (9), this finding is not universal (13). Cross-sectional studies of lung function have also provided mixed results with some (14-16), but not others (13;17-19), reporting worse lung function than in referents. Issues limiting interpretation of cross-sectional data include the healthy worker effect (9) and difficulties in establishing cause and effect and in eliminating the role of confounding exposures. Studies that follow cohorts of welders and unexposed referents should provide a more valid assessment of any risk of accelerated lung function decline attributable to exposure to welding fumes than cross sectional studies.

We carried out a systematic review of published studies of longitudinal decline in lung function in welders and, in these, of any change in respiratory symptoms. Our aim was to understand how comprehensive and how consistent the available information was and to establish whether further research is warranted.

## **Methods**

We are not aware of any prior systematic review of this kind. Using a series of search terms (e-Appendix 1) we interrogated seven databases to June 2011. From 203 identified papers, we selected eight studies which fulfilled our inclusion criteria (e-Appendix 1); we excluded further study, designed specifically to measure the incidence of occupational asthma (7). Given the lack of validated criteria for the quality assessment of observational studies, we constructed our own (e-Appendix 2) using relevant domains from the Newcastle-Ottawa Quality Assessment Scale (20).

We examined various factors that may influence lung function decline or the evolution of chronic respiratory symptoms: country and industry of study; metals and welding processes used; exposure assessment, such as duration of exposure or particulate measurement; age of cohort; length of follow up; follow up rate and smoking status. We examined all published measurements of lung function and respiratory symptoms (dyspnoea, cough, sputum, chronic bronchitis, wheeze) as well as any supportive narrative information. Our methodology and reporting follow guidance on the meta-analysis of observational studies (MOOSE) (21); more detail is provided in e-Appendix 1.

Analyses using the "metan" command in STATA version 9 (College Station, Texas) were focused on measurements of annual decline in forced expiratory volume in 1 second (FEV<sub>1</sub>). Only those studies for which we had a variability statistic were used in meta-analysis; direct enquiries to the authors of some selected papers provided additional relevant information (22-24). Statistical heterogeneity was assessed with the I<sup>2</sup> test statistic; significant heterogeneity was indicated using a cut-off point of p<0.10 (25) and fixed and random effects estimates were then compared. Annual decline of lung function comparing welders and controls in each study were then combined using random effects models to calculate a pooled weighted mean difference (WMD) with 95% confidence intervals (CI).

Pooled estimates were generated for smokers and non-smokers separately. Forced vital capacity (FVC) was investigated as a secondary outcome. Funnel plots and further subgroup analyses to examine the sources of publication bias and heterogeneity were not attempted, due to the small number of studies and the lack of relevant, published information.

#### Results

# Narrative summary of selected studies and lung function decline (Table 1)

All seven selected studies had a quality score ≥5 (e-Appendix 1). They included between 24 and 269 welders with a median follow up of five years (range 2 to 18 years). The annual FEV<sub>1</sub> decline in welders ranged from -0.05 to -47ml/yr (Table 1).

The earliest study, of welders in a vehicle manufacturer in France, suggested that FEV<sub>1</sub> among welders who did not smoke and referents improved over five years of observation, with no decline seen in welders who smoked (26). Two subsequent papers from the United Kingdom examined populations of welders/caulker-burners, caulker-burners alone and referents ('other trades'); in the first paper the authors concluded that welding fume exposure significantly increased lung function decline, independent of and additive to smoking (22); in the second, smoking and welding each had a (smaller) effect on decline, with no increased effect seen when the two exposures were combined (23). A study of Canadian welders, carried out at around the same time as the more recent UK work, showed no significant decline in lung function after adjustment for smoking (27). In a 5% volunteer sample of welders at a US shipyard, lung function did not change significantly over three years of follow-up (28). Neither of these studies included a referent group. In contrast, a study set in a New Zealand engineering company (29) suggested that welders who smoked or did not have access to local exhaust ventilation had an accelerated decline in FEV<sub>1</sub>. In this study, the difference in lung function decline between welders and non-welders was not significant. The most recent selected publication, an 18-year follow up of a mixed population of Danish welders (24), included exposure measurements. The authors concluded that at contemporary exposures, the average annual excess in functional decline among welders was unlikely to exceed 25ml in smokers and 10ml in non-smokers.

#### **Exposure assessment**

Only two studies reported estimates or measures of particulate or fume exposure. Median cumulative lifetime exposure to welding fume was estimated to be 81mg/m³.years in the study of Canadian welders, with estimated mean exposures to welding fume of 5-6mg/m³ (27); in this population the decline in FEV<sub>1</sub> among welders was -47ml/yr. The estimated geometric mean cumulative lifetime exposure in the Danish study was 88mg/m³.years and the cumulative exposure to welding fume during the study 37mg/m³.years (24); despite lower exposure levels in the Danish study (1.3 to 3.5 mg/m³), lung function decline was similar to the Canadian study, at -40.6ml/yr.

Two papers referred to analyses based on type of metal welded (24;26); in one, mild steel welders had an improvement in lung function over time, irrespective of smoking status, whereas stainless-steel welders who smoked had a small decline of -2.6ml/yr, with non-smokers showing an average improvement of +8.4ml/yr (26). In the Danish study no effects of metal or welding method on FEV<sub>1</sub>, FVC or FEV<sub>1</sub>/FVC ratio were found (24).

## Meta-analysis: lung function decline

Five studies included a referent population (22-24; 26; 29). Using information from these wecalculated a pooled estimate of the difference in annual FEV<sub>1</sub> decline of -9.0 ml/yr (95% CI -22.5, 4.5) between welders and referents; this difference was not statistically significant (p=0.193, Figure 1). There was no significant correlation between the proportion of workers followed up in these studies and the estimated rate of FEV<sub>1</sub> decline between welders and referents (Pearson's p=-0.76 (p=0.136)).

The studies included in the meta-analysis also examined the effects of smoking and were used to generate pooled estimates stratified by smoking status. The weighted mean difference in annual  $FEV_1$  decline between welders and referents who smoked was - 13.7ml/yr (95% CI -33.6, 6.3, p=0.179). For welders and referents who did not smoke the

estimated difference was -3.8ml/yr (95% CI -20.2, 12.6, p=0.650). The forest plots for these studies are shown in Figure 2.

## **Review of other lung function indices**

Numerical data for forced vital capacity (FVC) changes were published in four papers (22;26;27;29), three of which (22;26;29) provided information on variance in both welders and referents. In these, the pooled difference in FVC annual decline between welders and controls was -22.0 ml/yr (95% CI -37.4, -6.6, p=0.005). By virtue of its size, one study (22) contributed 88% of the weighting in the meta-analysis of FVC annual decline (data available in e-Appendix 2). The other three studies provided only a narrative commentary on FVC to the effect that welding had no significant effect on longitudinal change (23;24;28), although in one, changes in FVC were described as "on average positive" (23).

Three studies reported changes in  $FEV_1/FVC$  in welders (24; 26; 27); two published their data, one showing an annual improvement in ratio of 0.3-0.5% that was not significantly different between welders and referents (26) and another an annual decline of -0.3%, which did not vary according to duration of exposure (27). The third study reported no effect (24).

Small airway flow measurements were published in three of the seven papers (22;26;29), two using mid-expiratory flow (MEF $_{50}$  and MEF $_{25}$ ). One quoted results for all subjects regardless of smoking, with annual declines in MEF $_{50}$  and MEF $_{25}$  of -74ml and -26ml in welders and -40ml and -15ml in referents (22). In another, data were stratified by smoking; MEF $_{50}$  fell by -89ml/yr in welders who smoked and -74ml/yr in welders who did not smoke with declines of -65ml/yr in smoking and -55ml/yr in non-smoking referents. The estimated annual decline in MEF $_{25}$  was higher in welders and (marginally) in smokers: -32ml/yr and -31ml/yr in welders who smoked and welders who did not smoke and, respectively, -18ml/yr and -14ml/yr in non-welders (26). The third study reported an increase in forced expiratory fraction (FEF $_{25-75}$ ) of 78ml/yr in welders and 161ml/yr in referents (29). Two further papers commented on small airway function without publishing data; one stating that welding had a

detrimental effect independent of smoking (23), the other contradicting this (28). Due to the paucity of comparable data, meta-analysis of small airway measurements was not performed.

# Change in respiratory symptoms between initial and follow-up assessment of welder cohorts

While all the selected studies described the collection of respiratory symptom information, publication of their findings was neither universal nor easily comparable. Two papers reported odds ratios (OR) for the development of respiratory symptoms during follow up (22;23); in the first, there was an increased risk of incident dyspnoea related to welding (OR 2.8, 95% confidence interval (CI) 1.1-7.0) and of chronic bronchitis, increased by smoking (OR 2.0, 95% CI 1.1-3.5) but unrelated to trade (22). In the second, the development of a chronic productive cough was related to welding (OR 2.8, 95% CI 1.2-6.4) with a slightly greater risk of other chronic symptoms (cough, wheeze) related to smoking but not to employment (23). Three other studies compared the prevalence of symptoms at initial assessment and follow-up, one noting that symptom evolution "was not statistically different between welders and referents" (26), while the other two found a higher symptom prevalence in welders than referents at both time points and, in both groups, a decline in prevalence during follow up (28;29). In two papers the authors commented that smoking significantly increased the prevalence of symptoms (22;26).

#### **Discussion**

This systematic review of the published literature on lung function decline and respiratory symptom development in welders highlights the difficulty in obtaining robust information to examine the association between welding and long latency respiratory disease. Our pooled estimates, derived from a systematic examination of published longitudinal studies, suggest that annual rates of FEV<sub>1</sub> decline are greater in welders than in workplace referents and especially so in welders who smoke, although the differences are not statistically significant. Information on respiratory symptoms in the same studies was less easy to analyse but suggests that increasing symptoms over time are more strongly associated with smoking than with welding. These findings have important implications for safety and occupational health professionals who care for welders, and at the very least support a strategy of targeted smoking cessation advice to individuals working in the trade.

We focussed our attention on FEV<sub>1</sub>, since it was the most widely available measure of lung function; in each case it was measured without the use of a bronchodilator. A reduction in FEV<sub>1</sub> is usually indicative of airway obstruction, reversible or otherwise, but may also reflect restrictive lung disease. An obstructive explanation is generally favoured (30) and welding fume contains several components shown to be airway irritants in laboratory studies (3). The information available from the studies we examined provided only limited support for small airways obstruction, although measurements of this are unreliable (31). Asthma caused by welding fume has been described but is probably rare (8) and in only one of the studies was bronchial responsiveness studied prospectively (28). Using very high (10 fold) concentrations of methacholine the authors found no relationship between bronchial hyperresponsiveness and lung function decline; and nor did the proportion of welders reacting to methacholine change significantly during follow-up. While the evidence is not conclusive, we suggest that the most plausible explanation for the changes in FEV<sub>1</sub> we report is one of airflow obstruction. We note however that the available findings for changes in FEV<sub>1</sub>/FVC ratio were inconsistent and the pooled estimate for decline in FVC in welders compared to

referents – admittedly derived almost entirely from a single study - was slightly greater than that for  $FEV_1$  and was statistically significant. Thus the findings do not exclude the possibility of a restrictive pattern of disease. None of the seven studies reviewed took into account a history of metal fume fever or pneumonia.

Smoking was very prevalent in all the studies we examined, and in the five where its effects were examined separately, four reported a decline (in three significant) in welders who smoked that was over and above any effect of welding (22-24; 29). In the fifth, no significant change was found in relation to either smoking or welding (26). Our meta-analyses, when stratified by smoking, demonstrated small differences, but these did not reach statistical significance. A larger pooled estimate of excess decline between welders who smoked and their smoking controls was observed than the estimate between non-smoking subject groups, however the confidence intervals for the pooled estimates overlap. These findings suggest that the adverse effects of welding fume may be confined to those welders who smoke, reflecting perhaps additive effects of two concurrent sources of airway irritation or, alternatively, a chemical synergy. Other factors may however confound this relationship; smokers, for example, may adopt a more haphazard approach to respiratory protective equipment.

Our pooled estimates of annual decline in lung function were smaller than many might have anticipated. The explanations for this are complex but they will include the well-known difficulties of longitudinal spirometry (32), bias arising from the design and/or conduct of some studies and the relative fitness and youth of the workforces studied. Rossignol *et al* (27) commented on the possibility of a training effect which would underestimate any true decline, a phenomenon noted elsewhere (33; 34). These small differences in decline between welders and referents are not in of themselves clinically significant. Importantly, the lack of statistical significance between groups may be due to small sample size leading to a lack of statisticial power. Two of the five studies used to generate pooled estimates (23;24) included employees who were still young enough (<20 years) not to have reached maximal

lung function, likely underestimating any effect of welding on FEV<sub>1</sub> decline. However, in one of these (24), the welders were on average older than the referent population, possibly leading to an overestimate of effect. Reassurance that age is not wholly responsible derives from this study, with the longest duration of follow-up (24), in which baseline age was not significantly associated with lung function decline.

We elected to examine only longitudinal studies which, if they cover a sufficient period (27;36) are more likely to produce a valid measure of an occupational exposure effect (27). Few studies were identified as suitable, however; most had short follow up times and there was significant between-study heterogeneity. In addition, only two sequential measurements of spirometry were available in four of the five studies in the meta-analysis; interestingly, in the fifth, where four annual measurements were made (26) there was no evidence of a decline in FEV<sub>1</sub>. As well as the obvious limitations of conducting meta-analysis of a small number of studies, we did not have sufficient information to explore in detail the potential reasons for this variation but have considered a number of possible explanations. First, the selection process of the cohorts was probably variable; in one (26) the authors commented that at initial cross-sectional analysis, welders with longer exposures had better lung function suggesting a 'healthy selection' effect. Second, retention rates varied between 45%-88% and although the association between follow up rates and magnitude of decline was not significant, a healthy survivor effect cannot be excluded. Retention was in some studies differential and related to exposure (23; 24; 26; 28; 29) and in all cases to smoking status (22-24; 26; 29). Third, two studies explicitly excluded employees with asthma (23;28). Collectively, these differences probably explained much of the heterogeneity and might imply that our findings underestimate the true effect of welding exposures.

Few studies reported detailed measurements of exposure but the most recent, including an exposure matrix derived from occupational histories and validated with air sampling (24), failed to find a relationship between cumulative welding particulate exposure (range 0-42 mg/m³.years) and FEV₁ decline. Erkinjuntti-Pekkanen and colleagues (29) reported that

welders without personal or environmental protection had a significantly steeper decline in lung function, especially if they were smokers; indeed there was no evidence of significant decline in non-smoking, protected welders. Similarly, in a study of British welders (23), the constant use of local ventilation was associated with a smaller decline in lung function. Otherwise, and unfortunately, the studies we examined included men working in a variety of industries, using different welding methods and means of respiratory protection. These differences make it essentially impossible collectively to identify which components of welding fume or which welding practices are potentially hazardous and how such hazards may specifically be avoided.

The findings of this systematic review suggest that welding may be associated with an accelerated decline in lung function, particularly in combination with smoking. They support a role for effective control of welding fume using local exhaust ventilation, targeted support for smoking cessation and vigilant, longitudinal and high quality respiratory surveillance. There remain considerable uncertainties over the magnitude and determinants of risk in this important occupational group.

Reference	Country	Industry	Metals used <sup>1</sup>	Welding process <sup>2</sup>	Year	n³		Mean duration of welding	Cumulative exposure to welding fume (mean particulate	Length of follow-up	Follow up	Mean age at baseline of welders	Smoking Status (ever), of	Annual decline FEV <sub>1</sub> welders ml/yr (SD)		Annual decline FVC welders
						welders	referents	exposure (years)	exposure in mg/m³.year)	(years)	<u></u>	(years)	welders <sup>4</sup>	welders	referents	ml/yr (SE)
Mur (26)	France	vehicle manufacturing factory	MS, SS, Al	MIG	1989	138	106	NA	NA	5	76%	35	82% <sup>5</sup>	-0.1 (155.9)	10.8 (176.4)	-19.1 (191.1)
Chinn (22)	UK	shipyard	MS <sup>7</sup>	MMA <sup>7</sup>	1990	269 <sup>6</sup>	60 <sup>6</sup>	NA	NA	7.2	88%	46	85% <sup>6</sup>	-43.9 (43.3)	-21.0 (39.5)	-28.6 (57.5)
Chinn (23)	UK	shipyard	MS <sup>7</sup>	MMA <sup>7</sup>	1995	99 <sup>6</sup>	140 <sup>6</sup>	NA	NA	6.7	55%	23	53% <sup>6</sup>	-6.1 (37.1)	-5.2 (32.5)	NA
Rossignol (27)	Canada	metal manufacturing	NA	NA	1996	229	0	15	81 <sup>8</sup>	5	65%	40	80%	-47 (NA)	NA	-46
Beckett (28)	USA	shipyard	SS, AS	MMA, MIG, TIG	1996	24	35	9	NA	3	56%	32	65%	-4 (NA)	NA	NA
Erkinjuntti – Pekkanen (29)	New Zealand	engineering	MS, SS	TIG, MIG	1999	43	35	8	NA	2	70%	40	40%	-26.4 (139.7)	-33.1 (145.5)	-64.6 (255.1)
Christensen (24)	Denmark	industrial plants (machinery, construction)	MS, SS, AS, AI	TIG, MMA, MAG	2008	68	24	NA	37 <sup>9</sup> 88 <sup>10</sup>	18	70%	33	47%11	-38.9 (58.5)	-36.7 (49.1)	NA

<sup>&</sup>lt;sup>1</sup> MS = mild steel, SS = stainless steel, AS = alloyed steel, AI = Aluminium NA = not available

<sup>&</sup>lt;sup>2</sup> MIG = metal inert gas MMA = manual metal arc TIG = tungsten inert gas MAG = metal active gas FCA = flux cored arc NA = not available <sup>3</sup> Numbers refer to subjects used in final analysis

<sup>&</sup>lt;sup>4</sup> Percentage of welders included in meta-analysis of lung function, who were current or ex smokers at baseline (unless otherwise stated)

<sup>5</sup> Refers to smoking prevalence (ever) at baseline of all welders attending follow-up, including those that did not have lung function. Smoking prevalence in welders with lung function (n=138) at time of follow up was

<sup>&</sup>lt;sup>6</sup> Precise numbers of welders and controls who underwent baseline and repeat spirometry their smoking status and age were provided by the study authors on request

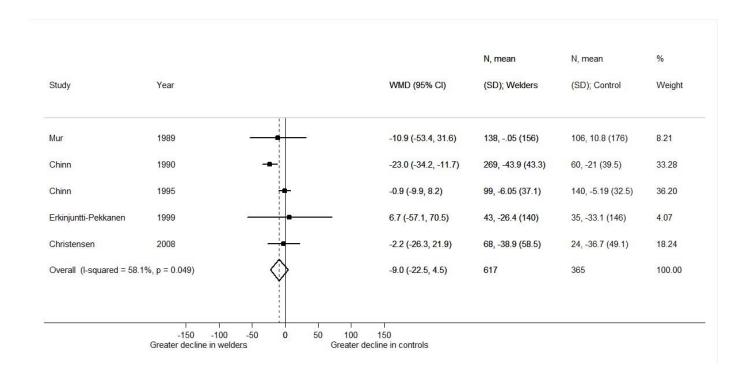
<sup>&</sup>lt;sup>7</sup> Information on metal and welding process for Chinn 1990 and 1995 comes from a previous paper by same group (9)

<sup>&</sup>lt;sup>8</sup> Median lifetime

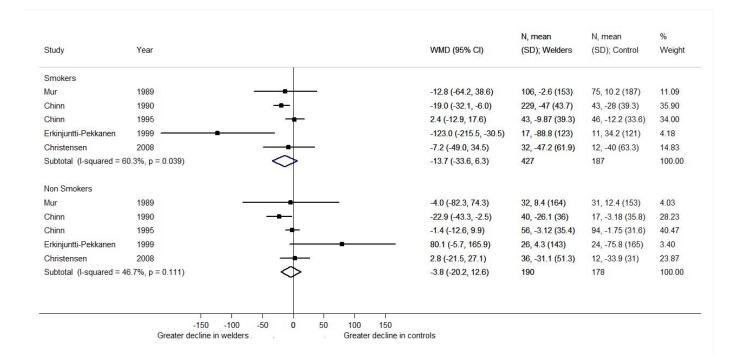
<sup>&</sup>lt;sup>9</sup> Geometric mean cumulative exposure during the study

<sup>10</sup> Lifetime mean cumulative exposure
11 Ex-smokers in 1987 (the start of the study) were treated as non-smokers in this analysis

**Figure 1**. Forest plot of weighted mean differences (WMD) and 95% confidence intervals (CI) for estimates of annual decline in FEV<sub>1</sub> between welders and referents. The squares represent the point estimates for each study, with a horizontal line displaying the 95% CI; the diamonds indicate the pooled WMD.



**Figure 2**. Forest plot of weighted mean differences (WMD) and 95% confidence intervals (CI) for estimates of annual decline of  $FEV_1$  in welders who did and did not smoke and referents who did and did not smoke. The squares represent the point estimates for each study, with a horizontal line displaying the 95% CI; the diamonds indicates the pooled WMD.



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